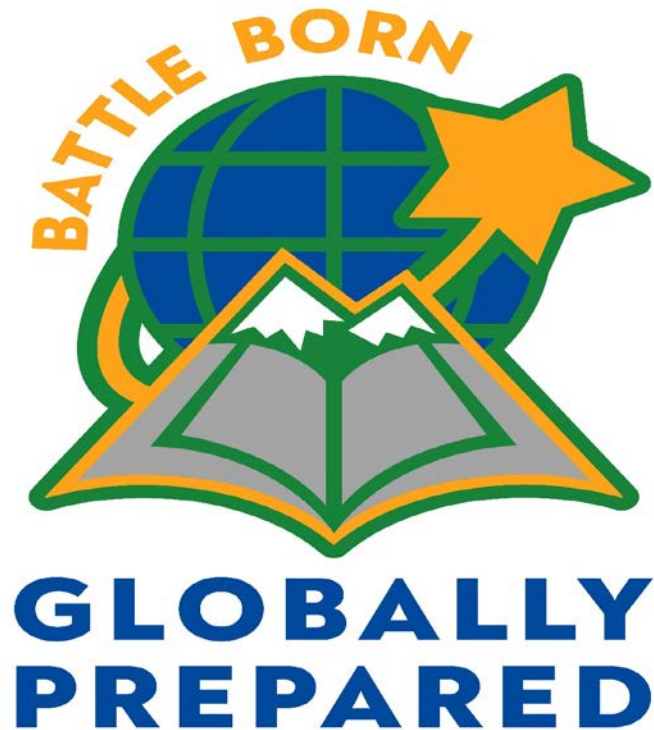


# Subrecipient Risk Assessment Report



Maria Sauter

Office of Student and  
School Supports

# The Purpose of a Risk Assessment:

Working together with the subrecipients, the risk assessment process is a proactive approach to detect administrative, fiscal, and programmatic strengths and opportunities for greater student achievement and to help subrecipients meet and sustain a pathway to success.



# A Risk Assessment is Required:

- It is required by State and Federal law
  - *The Nevada State Administrative Manual (SAM) 3022 outlines the requirement for the completion of a Risk Assessment before issuing a subaward to subrecipients. SAM and the State Grant Manual policies establishes that state funds be treated like federal funds.*
  - Federal law (2 CFR § 200.331(b) 2 CFR § 200.331(c) and 2 CFR § 200.207) requires the Nevada Department of Education to conduct a risk assessment for all federally funded grant activities of its sub-recipients to ensure that the subaward is used for:
    1. authorized purposes
    2. in compliance with federal statutes and regulations
    3. the terms and conditions of the subaward and that sub-award performance goals are achieved.



# Timeline:

- Nevada Department of Education has completed the first comprehensive Risk Assessment
- Grant period from July 1, 2018 – June 30-2019
- Final Reports sent to subgrantees – November 2020
- Next risk assessment for grant period July 1, 2019-June 30, 2020 will be completed between January – March 2021



# What to Expect in the Final Report

## Category 1 – Audit/Monitoring Performance

Risk Indicator	Risk Level
3. CSI/TSI/ATSI Schools: Subrecipient percentage and number/percentage of students.	Medium
3. WIDA access AGP (English Language Assessment)	Least
3. Index Scores: Percent of schools within a subrecipient with index scores below 50.	Low
Risk Indicator	Risk Level
1. Outcomes of Federal and State Audits	Medium
2. Single Audit (OMB Super Circular) Results (as appropriate): a) Submittal b) Evaluation c) Findings	Low
3. Title I Comparability Audits	Low

## Category 2 – Academic Achievement

Risk Indicator	Risk Level
3. CSI/TSI/ATSI Schools: Subrecipient percentage and number/percentage of students.	Medium
3. WIDA access AGP (English Language Assessment)	Least
3. Index Scores: Percent of schools within a subrecipient with index scores below 50.	Low



# What to Expect in the Final Report

- Scores in each category
- Overall total score with risk level (low, medium or high)
- 4-year Audit Schedule
- Scoring/Process explanation
- Appendix with Definitions



# Questions?

