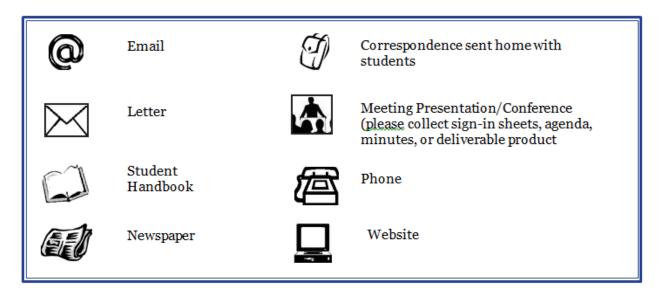


Parent and Family Engagement: LEA Sample Checklist¹

The Every Student Succeeds Act – Title I, Part A, Section 1116

The Local educational agency (LEA) or a Title I school may use this document to determine whether the LEA or Title I school meets federal requirements under Title I, Part A, Section 1116. If an indicator is assessed as "Not Met," then revision is required in order to meet state and federal requirements. The LEA's parent and family engagement policy must be periodically updated to reflect the needs of families, distributed to families in a language they can understand, and incorporated into the LEA's plans. The Title I school's parent and family engagement policy and school-parent compact also must be periodically updated to reflect the needs of families and distributed to families in a language they can understand.

Recommended Documentation **ICON KEY**:



¹ This guidance supersedes any previous NDE guidance on this topic and will remain in effect until further notice from NDE.



LEA Parent and Family Engagement Policy – LEA

Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
1. The policy was developed jointly with and agreed on with parents and family members. Section 1116(a)(2)	 □ Parent and Family Engagement Policy with revision date (month/date/year) □ Flyers □ Letters □ Meeting agendas/minutes □ Parent surveys/record of parent feedback □ Webpage 	☐ Met ☐ Not Met ☐ N/A	C Hand Book
2. The policy was distributed to parents and family members in a language they can understand. Section 1116(a)(2) and Section 1116(f)	 □ Policy print out in English □ Policy print out translated in another language □ Student handbook □ Webpage 	☐ Met ☐ Not Met ☐ N/A	Pland Dept (1)
3. The policy is incorporated in the LEA's plan developed under Section 1112. Section 1116(a)(2)	☐ LEA's plan (i.e. District Performance Plan)	☐ Met ☐ Not Met ☐ N/A	Hand Book
4. The policy describes how the LEA will involve parents and family members in jointly developing the LEA plan and the development of support and improvement plans. Section 1116(a)(2)(A)	☐ Description in LEA Parent and Family Engagement Policy	☐ Met ☐ Not Met ☐ N/A	*Include the page number the indicator is located in the policy



Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
5. The policy describes how the LEA will provide coordination, technical assistance, and other support necessary to assist and build the capacity of all participating schools within the LEA in planning and implementing effective parent and family involvement activities to improve student academic achievement and school performance. Section 1116(a)(2)(B)	☐ Description in LEA Parent and Family Engagement Policy	☐ Met ☐ Not Met ☐ N/A	*Include the page number the indicator is located in the policy
6. The policy describes how the LEA will coordinate and integrate parent and family engagement strategies, to the extent feasible, with other relevant Federal, State, and local laws and programs. Section 1116(a)(2)(C)	☐ Description in LEA Parent and Family Engagement Policy	☐ Met ☐ Not Met ☐ N/A	*Include the page number the indicator is located in the policy
 7. The policy describes how the LEA will conduct an annual evaluation of the content and effectiveness of the policy in improving the academic quality of Title I schools, including identifying barriers to greater participation by families (with particular attention to parents who are economically disadvantaged, disabled, have limited English proficiency, have limited literacy, or are a racial or 	□ Description in LEA Parent and Family Engagement Policy	☐ Met ☐ Not Met ☐ N/A	*Include the page number the indicator is located in the policy



Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
 ethnic minority background), the needs of parents and family members to assist with the learning of their children; and strategies to support successful school and family interactions. Section 1116(a)(2)(D)			
8. The policy describes how the LEA will involve parents in the activities of Title I schools, which may include establishing an advisory board to develop, revise, and review the engagement policy. Section 1116(a)(2)(F)	☐ Description in LEA Parent and Family Engagement Policy	☐ Met ☐ Not Met ☐ N/A	*Include the page number the indicator is located in the policy



Reservation and Use of Funds – LEA

Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
 The LEA reserved at least 1 percent of its allocation under Title I, Part A to assist schools to carry out parent and family engagement activities. *Not applicable if the 1 percent of the district allocation for the fiscal year is \$5,000 or less. Section 1116(a)(3)(A) 	☐ Bookkeeping record of related expenditures	□ Met □ Not Met □ N/A	
2. Parents and family members of Title I schools are involved in the decisions regarding how funds are allotted for parental involvement activities. Section 1116(a)(3)(B)	 □ Dated meeting agendas/minutes □ Dated sign-in sheets □ Expenditures for parent involvement □ Flyers or invitations □ Letters □ Parent surveys/record of parent feedback 	☐ Met ☐ Not Met ☐ N/A	
3. Of the 1% of Title I, Part A funds mandated to fund parent and family engagement, not less than 90 percent of funds allocated is distributed to Title I schools, with priority given to high-need schools. Section 1116(a)(3)(C)	☐ Bookkeeping record of related expenditures/disbursement	☐ Met ☐ Not Met ☐ N/A	Hand Book



Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
 4. Funds allocated under Title I, Part A will be used to carry out activities and strategies consistent with the LEA's parent and family engagement policy, including not less than 1 of the following supporting schools and nonprofit organizations in training school staff regarding engagement strategies; supporting programs that reach families at home, in the community, and at school; disseminating information on best practices focused on parent and family engagement, especially for increasing the engagement of economically disadvantaged parents and family members; sub-granting to schools to collaborate with community-based organizations or businesses that have a track record of increasing parent and family engagement; or engaging in any other activities that the LEA believes are appropriate and consistent with the LEA's parent and family engagement policy. 	□ Bookkeeping record of related expenditures	☐ Met ☐ Not Met ☐ N/A	Hand Book



School Parent and Family Engagement Policy – LEA & School
*LEAs are required to ensure Title I school parent and family engagement policies meet federal requirements.

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Indicator	Evidence Examples	Assessment	Form(s) of Documentation
1. The policy was developed jointly with and agreed on with parents and family members.	☐ School Parent and Family Engagement Policy with revision date (month/date/year)	☐ Met ☐ Not Met	
Section 1116(b)(1)	☐ Dated sign-in sheets (indicating person's role and/or title)		(C) Hand Book
	☐ Flyers		
	□ Letters		*Include the page number the
	☐ Meeting agendas/minutes		indicator is located in the policy
	☐ Parent surveys/record of parent feedback		
	☐ Webpage		
2. The policy was distributed to parents and family members in a format they can understand (including those who have limited English proficiency, those with	□ Policy print out in English□ Policy print out translated in another language	□ Met □ Not Met	
disabilities, and those with migratory children).	☐ Student handbook		(C) [Hanned] [Manned]
Section 1116(b)(1) and Section 1116(f)	☐ Webpage ADA compliant format		*Include the page number the indicator is located in the policy
3. The LEA has a school-district level parent and family engagement policy that applies to all parents and family members in all schools and was amended to meet the federal	☐ LEA Parent and Family Engagement Policy	□ Yes □ No	



Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
requirements of Section 1116. Section 1116(b)(3) 4. If the plan under Section 1112 (i.e. District Performance Plan) was not	☐ Comments from parents	□ Yes	*Include the page number the indicator is located in the policy
satisfactory to parents, parent comments about the plan were submitted to the state educational agency. Section 1116(b)(4)	☐ Parent surveys	□ No □ N/A	
 5. The policy describes how the school will convene an annual meeting at a convenient time, to which all parents of the participating children shall be invited and encouraged to attend, to inform parents that their school receives Title I funds, that these funds come with requirements; and that parents have the right to be involved. 	☐ Description in School Parent and Family Engagement Policy	☐ Met ☐ Not Met	*Include the page number the indicator is located in the policy
Section 1116(c)(1) 6. The policy describes how the school will offer a flexible number of engagement meetings at convenient times for families (for which the school may provide transportation, child care, or home visits using Title I funds) that	☐ Description in School Parent and Family Engagement Policy	☐ Met ☐ Not Met	Hand Book



Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
relate to parental involvement.			
Section1116(c)(2)			*Include the page number the indicator is located in the policy
 7. The policy describes how the school will involve parents in the planning, review, and improvement of Title I programs, the school parent and family engagement policy; and the plan under Section 1114(b) (i.e. School Performance Plan). *If a school has in place a process for involving parents in the planning and design of the School Performance Plan, the school may use that process if it includes an adequate representation of parents of participating children. 	☐ Description in School Parent and Family Engagement Policy	☐ Met ☐ Not Met	*Include the page number the indicator is located in the policy
Section 1116(c)(3)			
 8. The policy provides parents timely information about Title I-funded programs, a description and explanation of the curriculum and academic assessments the school uses, the school achievement levels of the State academic standards; and if requested, opportunities for regular meetings to participate in 	☐ Description in School Parent and Family Engagement Policy	☐ Met ☐ Not Met	*Include the page number the indicator is located in the policy



Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
decisions relating to the education of their child.			
Section $1116(c)(4)$			
 9. The policy describes how the school will involve parents in the planning, review, and improvement of • Title I programs, • the school parent and family engagement policy; and • the plan under Section 1114(b) (i.e. School Performance Plan). *If a school has in place a process for involving parents in the planning and design of the School Performance Plan, the school may use that process if it includes an adequate representation of parents of participating children. Section 1116(c)(3) 	☐ Description in School Parent and Family Engagement Policy	☐ Met ☐ Not Met	*Include the page number the indicator is located in the policy



School-Parent Compact and Building Capacity— **LEA & School***While the development of the school-parent compact is a component of the school-level parent and family engagement policy, LEAs are required to ensure the compacts were developed correctly.

are required to ensure the compacts were d	crotopou correctly.		
Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
1. The school jointly developed with parents a school-parent compact that outlines how parents, school staff, and students will share responsibility for improved student academic achievement and develop a partnership to help students achieve state standards. Section 1116(d)	 □ Written procedure for school and parents to create, revise, and sign the compacts □ Evidence that compact was developed jointly with parents (e.g., meeting agendas, meeting minutes, sign-in sheets, correspondence) □ Copy of school-parent compacts signed and dated by each parent, student, and a school representative 	☐ Met ☐ Not Met	*Include the page number the indicator is located in the policy
	☐ Evidence that the compact was shared and utilized as a tool with all parents of students receiving Title I services		
2. The school-parent compact was distributed to parents and family members in a language they can understand.	☐ School-parent compact print out in English	☐ Met ☐ Not Met	
Section 1116(f)	□ School-parent compact print out translated in another language□ Student handbook		*Include the page number the indicator is located in the policy
 3. The school-parent compact describes the school's responsibility to provide high-quality curriculum and instruction in a supportive and effective learning environment; and the parents' responsibility to support 	 □ Webpage □ Description in school-parent compact 	☐ Met ☐ Not Met	Hand Book



Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
their child(ren)'s learning, such as monitoring attendance, homework completion, television watching; volunteering in their child(ren)'s classroom and participating in decisions relating to their child(ren)'s education. Section 1116(d)(1)			*Include the page number the indicator is located in the policy
 4. The school-parent compact addresses the importance of communication between teachers and parents on an ongoing basis, at a minimum parent-teacher conferences in elementary schools, at least annually, during which the compact is discussed and how it relates to the individual child's achievement, frequent reports to parents on their child(ren)'s progress, access to opportunities to volunteer and participate in their child(ren)'s class; and regular two-way, meaningful communication between family 	☐ Description in school-parent compact	☐ Met ☐ Not Met	*Include the page number the indicator is located in the policy
members and school staff in a language they can understand. Section 1116(d)(2)			
 The LEA and each Title I school provides assistance to parents in understanding such topics as the state's academic standards, state and local assessments, the requirements 	☐ Brochures/flyers/newsletters☐ Dated agendas/minutes☐ Calendar	☐ Met ☐ Not Met	



Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
of Title I, how to monitor a child's progress, and work with educators; • provides materials and training to help parents work with their child(ren) to improve their achievement; • educates school staff, with the assistance of parents, in the value and utility of contributions of parents, and how to reach out to, communicate with, and work with parents as equal partners; • integrates engagement strategies with other federal and state programs, including public preschool programs; and • ensures that information related to programs, meetings, and other activities is sent to parents in a language they can understand. Section 1116(e)	 □ Feedback forms □ Letters □ Newspaper articles and/or media announcements □ Parent or staff surveys □ Schedule of parent education activities, trainings, classes, workshops, etc. □ Training materials, handouts, worksheets 		*Include the page number the indicator is located in the policy
6. (OPTIONAL) The LEA and each Title I school	☐ Dated agendas/minutes	☐ Yes	
 involves parents in the development of school staff training, 	☐ Calendar	□ No	
 provides training to parents to 	☐ Feedback forms		Rook N
enhance the involvement of other parents,	☐ Letters		
 arranges school meetings or conducts 	☐ Parent surveys		
in-home conferences between teachers and parents who are unable to attend such conferences at school,	☐ Schedule of parent education activities, trainings, classes,		



Indicator	Evidence Examples	Assessment	Recommended Form(s) of Documentation
 establishes a districtwide parent advisory council, develops roles for community-based organizations and businesses in activities; and 	workshops, etc. □ Training materials, handouts, worksheets		
7. Except as otherwise provided in this subsection, the board of trustees of each school district shall ensure that the form prescribed by the Department is used for the educational involvement accord of each public school in the school district. The board of trustees of a school district may authorize the use of an expanded form that contains additions to the form prescribed by the Department if the basic information contained in the expanded form complies with the form prescribed by the Department. The Department and the board of trustees of each school district shall, at least once each year, review and amend their respective educational involvement accords.	□ Educational Involvement Accords	☐ Met ☐ Not Met	List date here