



FY20

Updated NDE Risk

Assessment Process

What is the purpose of a Risk Assessment?

Working together with the subrecipients, the risk assessment process is a proactive approach to detect administrative, fiscal, and programmatic strengths and opportunities for greater student achievement and to help subrecipients meet and sustain a pathway to success.





Why? It's in the Law

US Education Department (USED) Uniform Grant Guidance (UGG):

The law requires the Nevada Department of Education to conduct a risk assessment for all federally funded grant activities of its sub-recipients to ensure that the sub-award is used for:

- 1. authorized purposes**
- 2. in compliance with federal statutes and regulations**
- 3. the terms and conditions of the sub-award and that sub-award performance goals are achieved.**

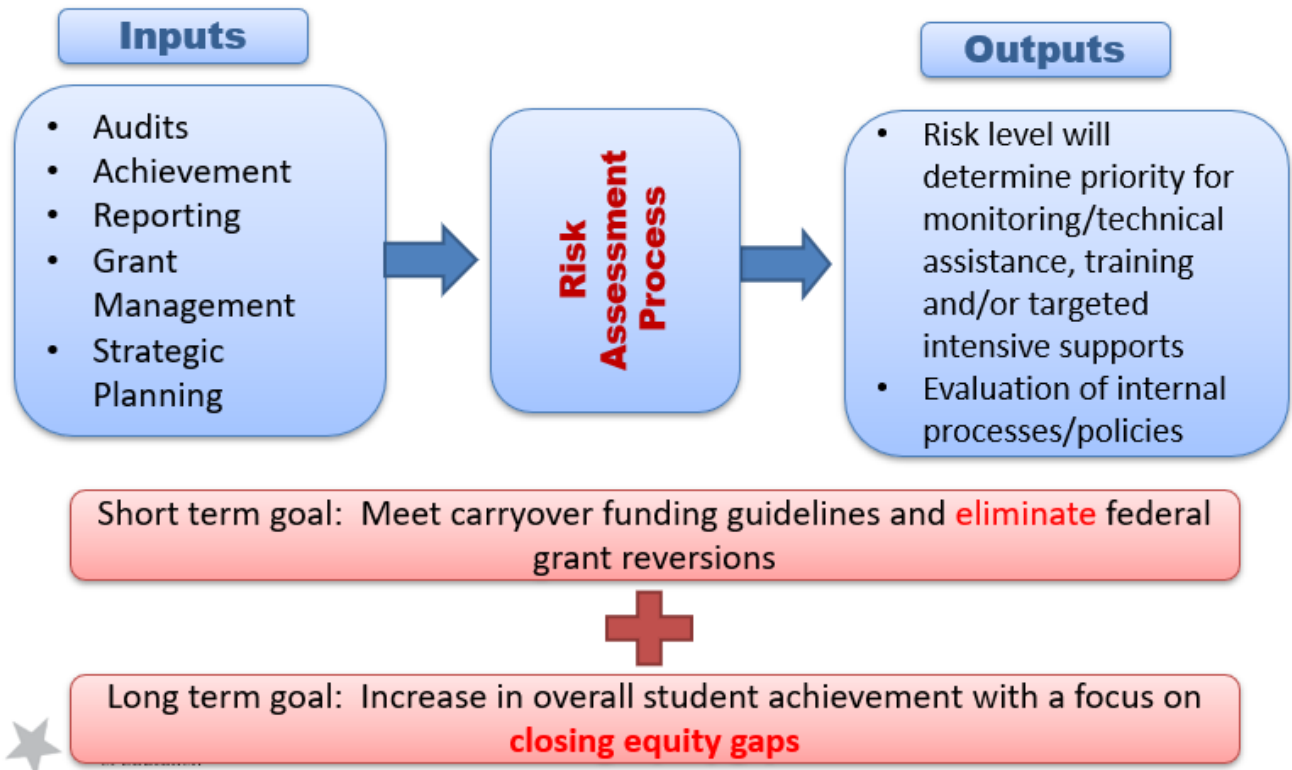
2 CFR § 200.331(b)

2 CFR § 200.331(c) and 2 CFR § 200.207

For more information about risk assessment, please see U.S. Department of Education, Questions and Answers Regarding 2 CFR Part 200, Q&A 2 and Q&A 13, available at

<https://www2.ed.gov/policy/fund/guid/uniformguidance/faq62515.pdf>.

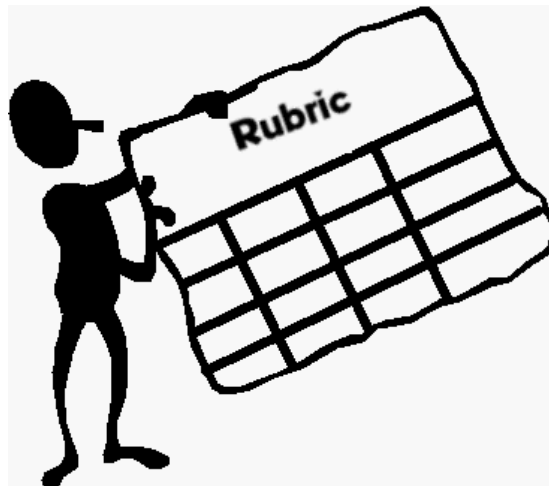
Risk Assessment Logic Model



How will the Risk Assessment Process be Implemented?

NDE identified 24 risk indicators that were grouped into 5 categories:

1. Audit/Monitoring Performance
2. Academic Achievement
3. Reporting Timeliness & Accuracy
4. Grant Management
5. District and School Strategic Planning





24 Indicators

Category 1-Audit Monitoring Performance:

Outcomes of Federal and State Fiscal Audits
Single Audit (OMB Super Circular) Results
Title I Comparability Audits.

Category 2-Academic Achievement:

CSI/TSI/ATSI Schools
WIDA Access AGP (English Language Assessment
Index Scores - below 50
College & Career Readiness
Chronic Absenteeism
Graduation Rates
Equitable Service/Consultation Process

Category 3-Reporting Timeliness and Accuracy:

Grant Closeout Reports
Grant Funding Application Submissions
General Statement of Assurance
Stale Claims
Desktop Monitoring Submissions-noncompliance

Category 4 Grant Management:

Carryover of Federal Grant Funds
Timeliness and Accuracy of RFFs
Amendment Requests
New Personnel and New or Substantially Changed Systems

Category 5 District and School Strategic Planning:

EDT Plan to Address Equity Gaps
LEA Approved DPP
LEA Approved SPP
Evidence Based Levels in DPP
Evidence Based Levels in SPP

Bold indicators will not be scored for 2019 as data is not available



Scoring System

A rubric based scoring system was developed to determine risk levels that may adversely affect the subrecipients ability to achieve their objectives.

1. An overall risk assessment score is provided in percentage and points and is individually calculated for each subrecipient based on applicable risk indicators.
2. Risk calculations generate a Risk Score and Percentage to determine an overall Risk Level (Low, Medium, or High).

SUBRECIPIENT RISK ASSESSMENT SCORE RESULTS		
POINTS	MAX POINTS	RISK LEVEL
25	99	LOW RISK
RISK SCORE		
25%		
Risk Level		Risk Score
Low Risk		Scores between 1-29%
Medium Risk		Scores between 30-46%
High Risk		Scores above 47%



When will Subrecipients be Notified of Risk Assessment Results?

August 2020 Nevada Department of Education will provide all subrecipients with the risk level results.

Required by law, the Risk Assessment process and scores of entities will be published via Nevada Department of Education website.

The individual indicator scores will not be posted but included in the result notification.





What Does this Mean?

High RISK $\geq 47\%$

- Targeted Nevada Department of Education intensive supports technical assistance.
- Monitoring every year and possible Corrective Action and Evaluation Plans.

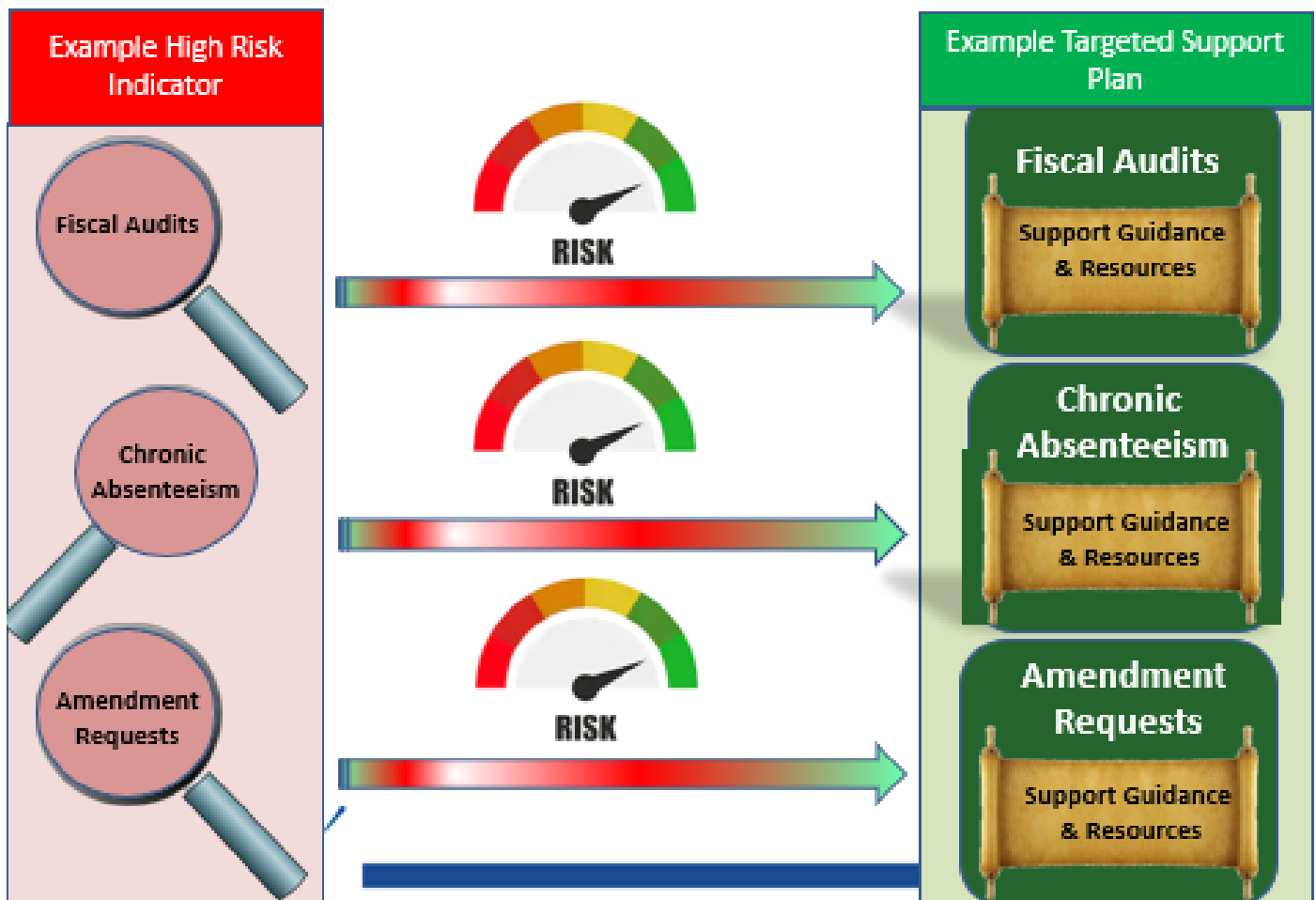
Medium Risk 30% to 46%

- Nevada Department of Education support and technical assistance.
- Monitoring a minimum of once every 2 years.

Low Risk 0 to 29%

- Continued Nevada Department of Education support to sustain low no risk.
- Monitoring a minimum of once every 4 years.

Nevada Department of Education Support for Each Indicator





Contacts:

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THANK YOU